

# 如何批判性阅读论文？

黄久佐

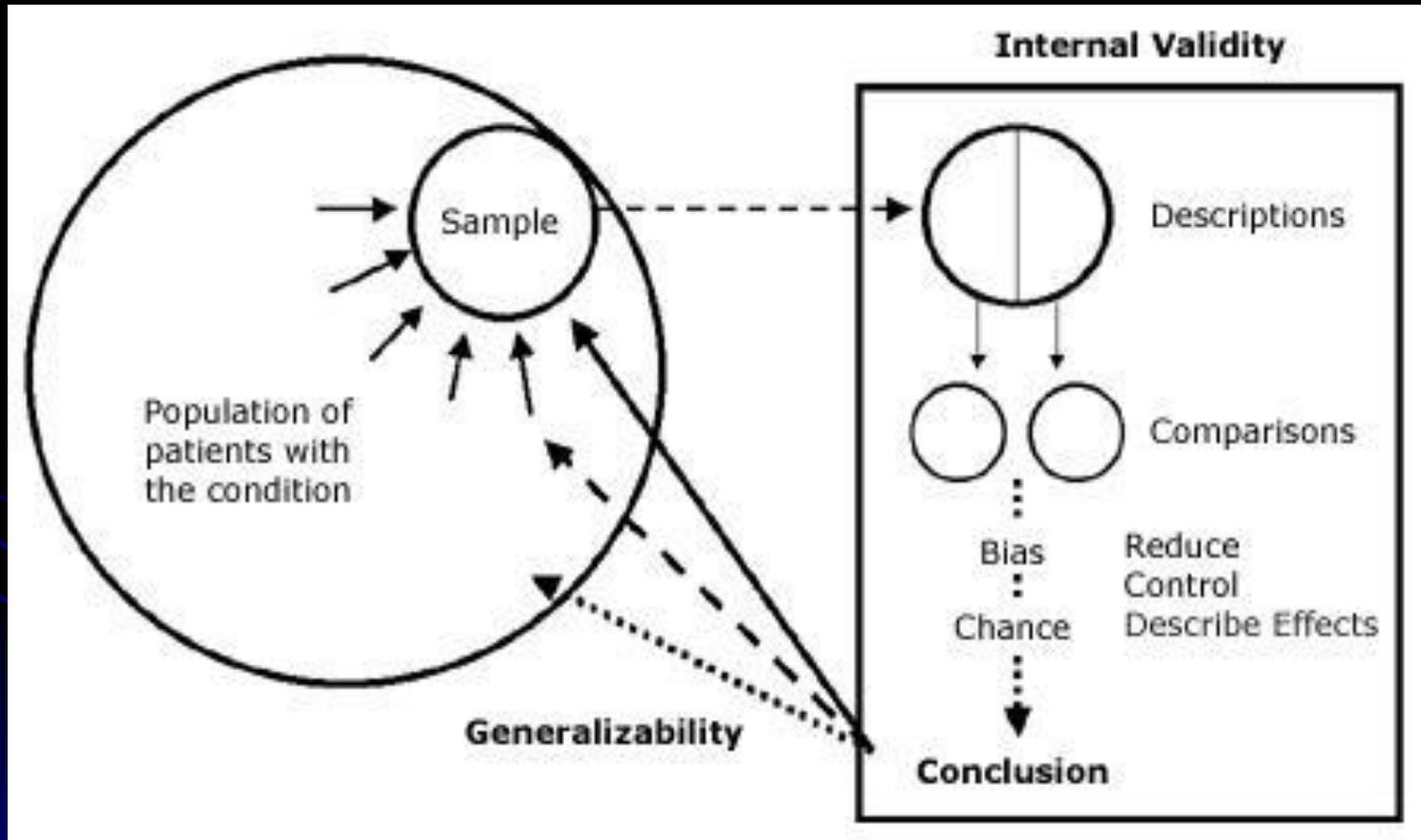
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# Step3: Critical appraisal of evidence



Internal and external validity of research evidence

# Appraising therapeutic studies

**1. Are the results valid?**

**2. What are the results?**

**3. Will they help me  
look after my patients?**

## Users' Guides for an Article About Therapy

### Are the results valid?

- Did intervention and control groups start with the same prognosis?
  - Were patients randomized?
  - Was randomization concealed?
  - Were patients in the study groups similar with respect to known prognostic factors?
- Was prognostic balance maintained as the study progressed?
  - To what extent was the study blinded?
- Were the groups prognostically balanced at the study's completion?
  - Was follow-up complete?
  - Were patients analyzed in the groups to which they were randomized?
  - Was the trial stopped early?

### What are the results?

- How large was the treatment effect?
- How precise was the estimate of the treatment effect?

### How can I apply the results to patient care?

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# To what extent was the study blinded?

## Five Groups That Should, if Possible, Be Blind to Treatment Assignment

Patients	To avoid placebo effects
Clinicians	To prevent differential administration of therapies that affect the outcome of interest (cointervention)
Data collectors	To prevent bias in data collection
Adjudicators of outcome	To prevent bias in decisions about whether or not a patient has had an outcome of interest
Data analysts	To avoid bias in decisions regarding data analysis

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## When Does Loss to Follow-up Seriously Threaten Validity?

	Trial A		Trial B	
	Treatment	Control	Treatment	Control
Number of patients randomized	1000	1000	1000	1000
Number (%) lost to follow-up	30 (3)	30 (3)	30 (3)	30 (3)
Number (%) of deaths	200 (20)	400 (40)	30 (3)	60 (6)
RRR not counting patients lost to follow-up	0.2/0.4 = 0.50		0.03/0.06 = 0.50	
RRR—worst-case scenario <sup>a</sup>	0.17/0.4 = 0.43		0.00/0.06 = 0	

Abbreviation: RRR, relative risk reduction.

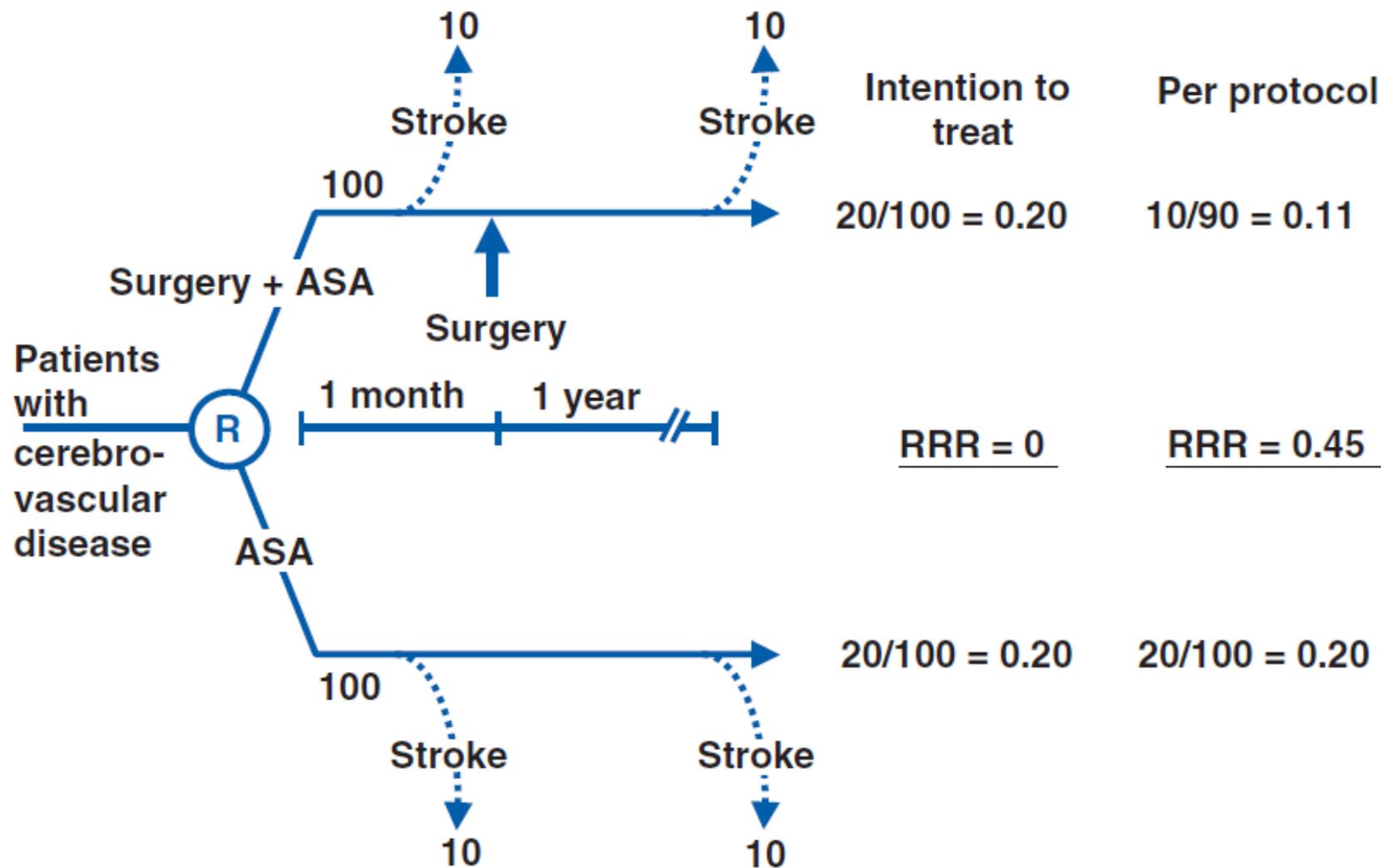
<sup>a</sup>The worst-case scenario assumes that all patients allocated to the treatment group and lost to follow-up died and all patients allocated to the control group and lost to follow-up survived.

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# Results of a Hypothetical Trial of Surgical Therapy in Patients With Cerebrovascular Disease



Abbreviations: ASA, acetylsalicylic acid; R, randomization; RRR, relative risk reduction.

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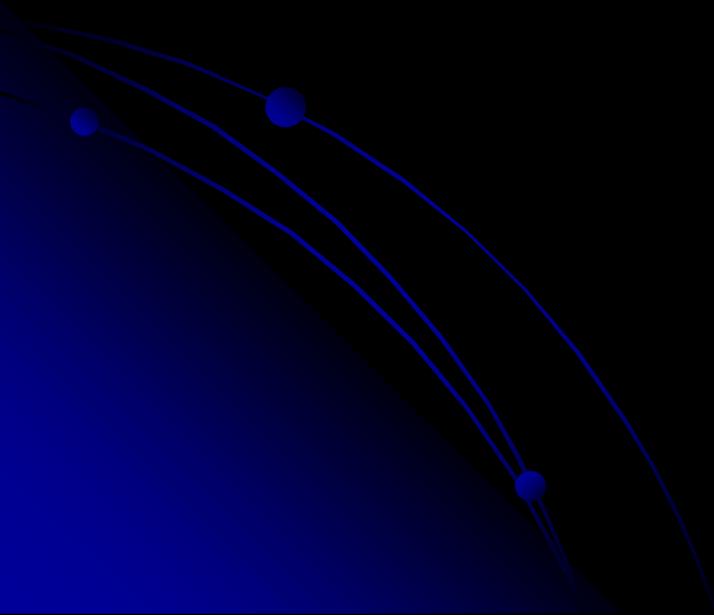
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# Was the trial stopped early?

- Was There a Preplanned Stopping Rule?
- Did the Rule Involve Few Interim Looks and a Stringent P Value?
- Were There a Large Number of Events?



## Users' Guides for an Article About Therapy

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Description	Numbers
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<b>Question</b>	<b>P</b> Patients		
	<b>I</b> Intervention		
	<b>C</b> Comparator		
	<b>O</b> Outcomes		<b>CER (%)</b>
1			
	2		

<b>Appraisal</b>	<b>R</b> Randomized	
	<b>A</b> Ascertainment	
	<b>M</b> Measures	

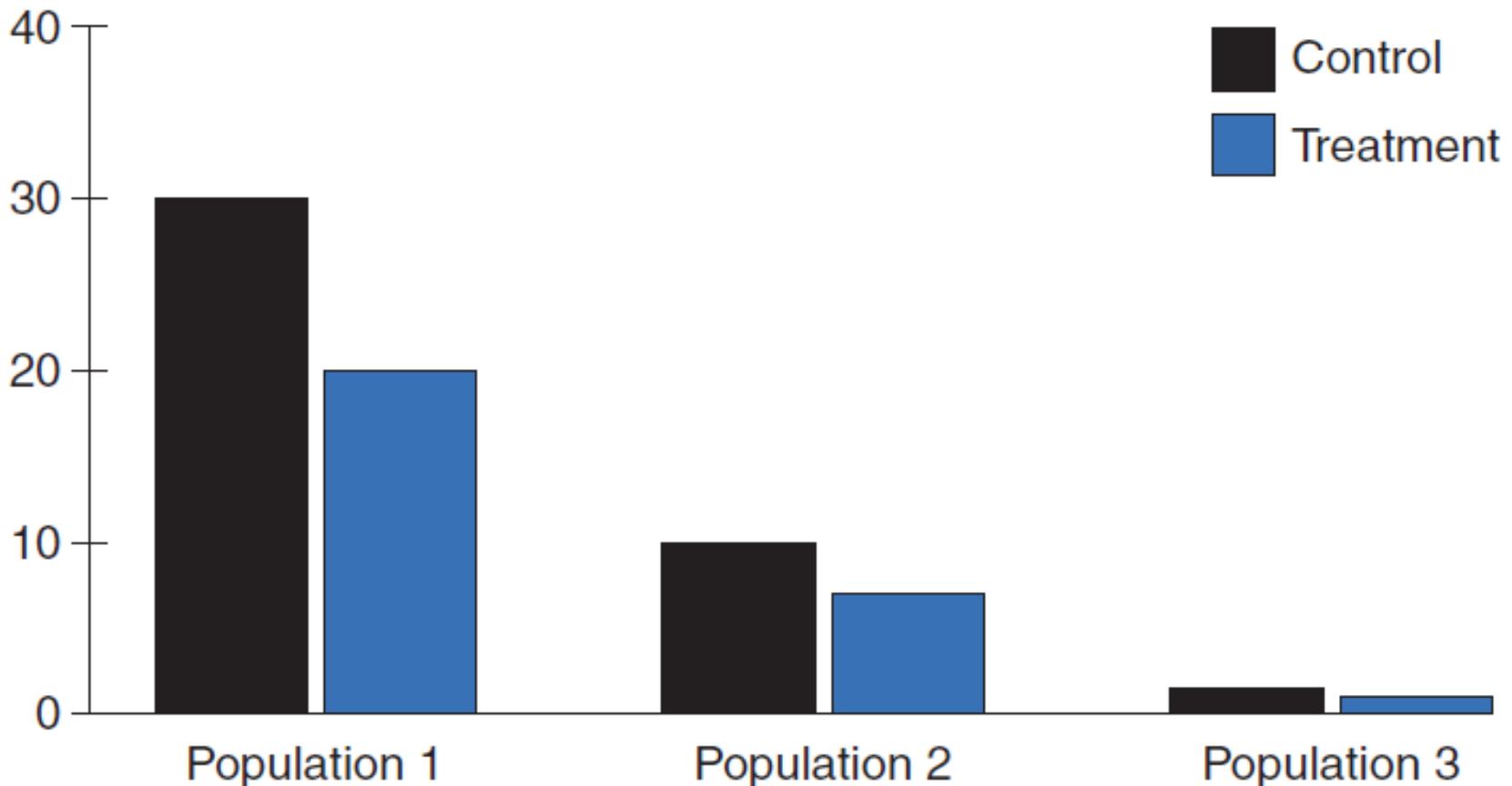
<b>Outcomes</b>	RD <sub>ifference</sub>	CER – EER	<b>ARR: Absolute RR</b>
	RRR	RD/CER	<b>CI: Confidence Interval</b>
	NNT	1/RD	

Clinical Bottom-line:

Further Actions:

- ER: event rate
- C: control
- E: experimental
- RD: rate difference
- RRR: relative risk reduction
- NNT: number needed to treat
- CI: confidence interval
- ARR: absolute risk reduction

# Constant Relative Risk With Varying Risk Differences

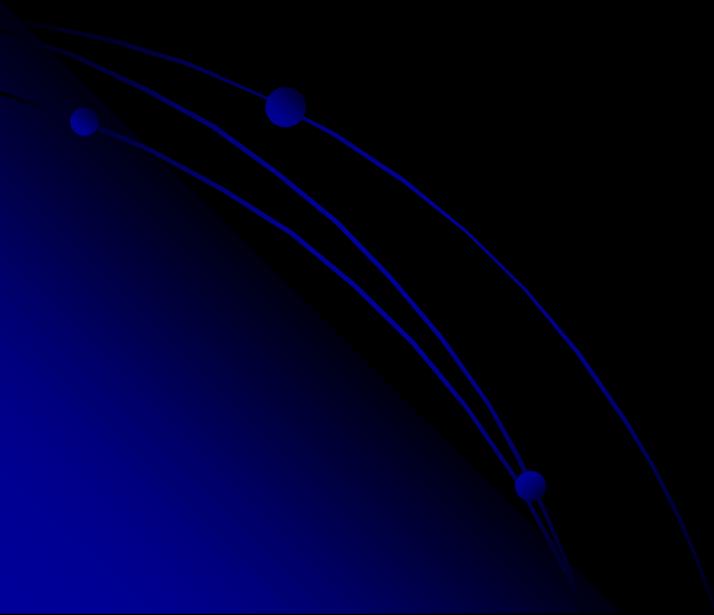


# Absolute risk reduction & NNT

**Relationship Among the Baseline Risk, the Relative Risk Reduction, and the Number Needed to Treat<sup>a</sup>**

<b>Control Event Rate</b>	<b>Intervention Event Rate</b>	<b>Relative Risk, %</b>	<b>Relative Risk Reduction, %</b>	<b>Risk Difference</b>	<b>Number Needed to Treat</b>
0.02	0.01	50	50	0.01	100
0.4	0.2	50	50	0.2	5
0.04	0.02	50	50	0.02	50
0.04	0.03	75	25	0.01	100
0.4	0.3	75	25	0.1	10
0.01	0.005	50	50	0.005	200

# Changes in Risk



# EVISTA Significantly Reduces Clinical Vertebral Fracture Risk

at **One  
Year**

EVISTA<sup>®</sup>  
raloxifene HCl

**68%**

**reduction**  
vs. placebo<sup>1</sup>



1. How would you describe the benefit of EVISTA? (check one)

- Very big
- Big
- Moderate
- Small
- Very small

# EVISTA Significantly Reduces Clinical Vertebral Fracture Risk

at **One  
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Reduced risk of what? **Significantly Reduces**  
**Clinical Vertebral Fracture Risk**

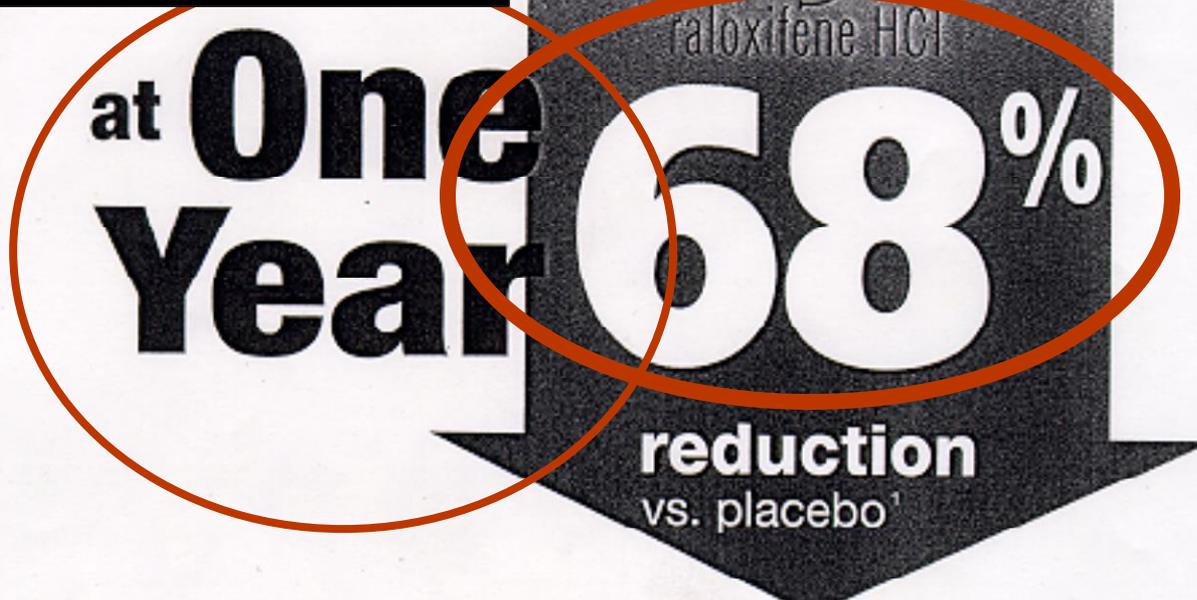
the "outcome"

at **One**  
**Year** **68%**  
**reduction**  
vs. placebo<sup>1</sup>

STA<sup>®</sup>  
paroxetine HCl

# EVISTA Significantly Reduces Clinical Vertebral Fracture Risk

Reduced risk when?



68% of what??

# It's like a sale

Extremely Fancy Store

**68** %  
**OFF**

On *selected* items!

Would you go if selected items were

Things like TV's, washing machines

*save \$100's*

Things like a pack of gum?

*save pennies*

“68% of what” matters!

**Know the REGULAR price!**

Let's work through a simple example.....

*How good is the sale?*

TV    **Regular price**  
         **\$500**

**Sales price**  
         **\$450**



How much money do you save??

Savings = **\$500** - **\$450** = **\$50**

How does the sales price compare to the original price?

\$50 lower

The sales price is 10% lower than the regular price.

# Let's do the same thing for EVISTA.....

*How good is the sale* **Vertebral fracture risk**

with Placebo

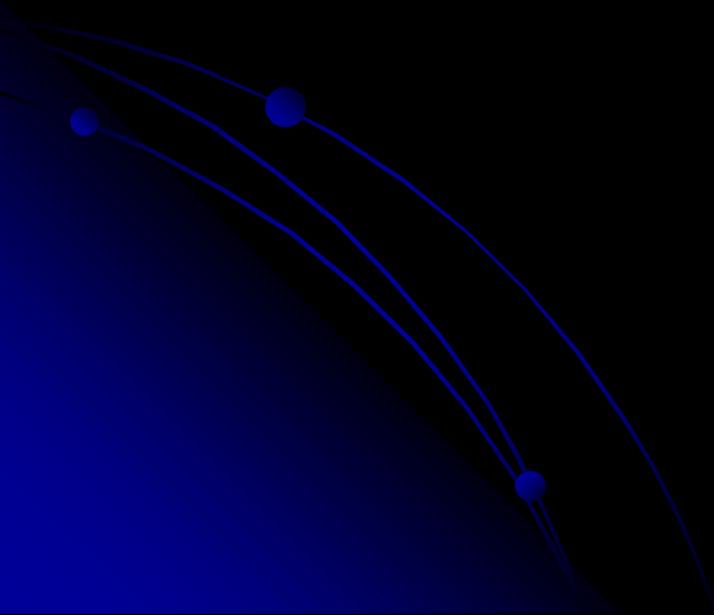
???

with EVISTA

???

**68% OFF**

How much "risk" do you save??



# The science behind the ad

What is the primary **outcome**?

**Vertebral Fracture**

Describe the distinct **exposure** in each study group

Group A **EVISTA**

Quantify the **outcome**

**% fracture**

Describe who is in the study

**4,551 post-menopausal women with osteoporosis**



Randomize

How long was the follow-up?

**1 year** (days)

Group B **Placebo**

**% fracture**

The chance of having a clinical vertebral fracture over 1 year in the **PLACEBO** group

**PLACEBO**

0.83%

**The REGULAR price!**

*The "base rate"*

*Absolute risk in the placebo group*

*Event rate in the placebo group*

# The chance of having a clinical vertebral fracture over 1 year in the **INTERVENTION** group

PLACEBO

0.83%

EVISTA

0.27%



The **REGULAR** price!

The **SALES** price!

# What is the effect of Evista?

*How good is the sale?*

PLACEBO

0.83%

EVISTA

0.27%

How much do you save?

Absolute risk  
reduction

0.83%

ce

0.27%

price

0.56%

If 1000 women took EVISTA instead of placebo for  
1 year,

# What is the effect of Evista?

*How good is the sale?*

PLACEBO

0.83%

EVISTA

0.27%

Relative Risk =

Risk of outcome (intervention)

Risk of outcome (control)

# What is the effect of Evista?

*How good is the sale?*

PLACEBO

0.83%

EVISTA

0.27%

$$\text{Relative Risk} = \frac{0.27\%}{0.83\%} = 0.32$$

**Clunky!!**

The 1 year risk of fracture for women taking EVISTA is 0.32 times that of women taking placebo.

# A less **clunky** way to describe the effect of EVISTA

So finally....this is how you get to the **68%** "relative risk reduction"

$$\text{Relative Risk} = \frac{0.27\%}{0.83\%} = 0.32$$

$$\text{"\% Lower" format} = 1 - 0.32 = .68$$

The 1 year risk of vertebral fracture for women taking EVISTA was 68 percent lower than that of women taking

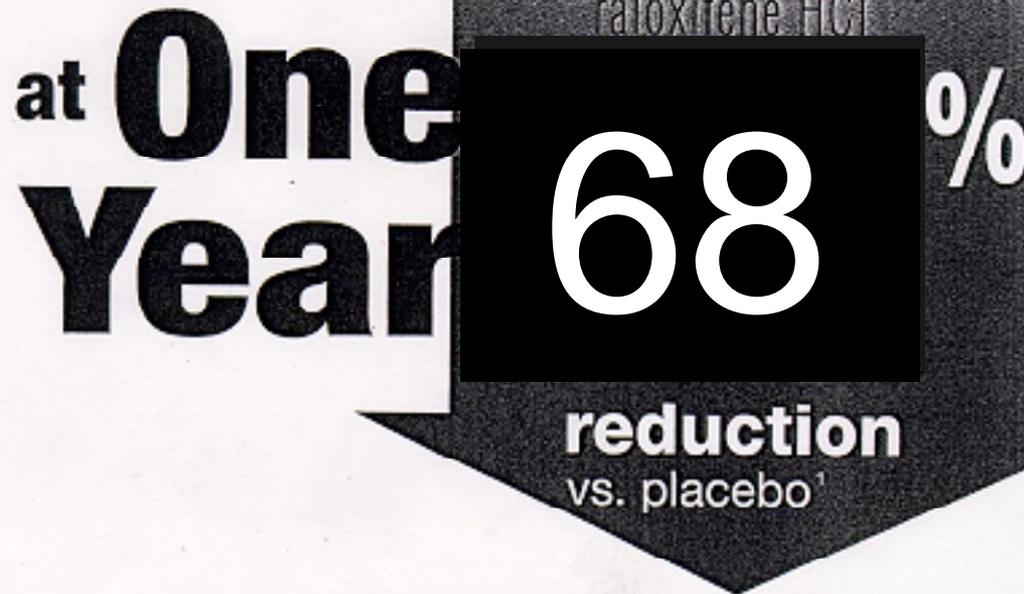
# This is called framing

The same information feels very different when you see the absolute risks.

Well-described finding that relative risk reduction appears more impressive than corresponding absolute risk reduction.

The EVISTA ad agency knew what they were doing....

# EVISTA Significantly Reduces Clinical Vertebral Fracture Risk



Placebo  
~0.8%

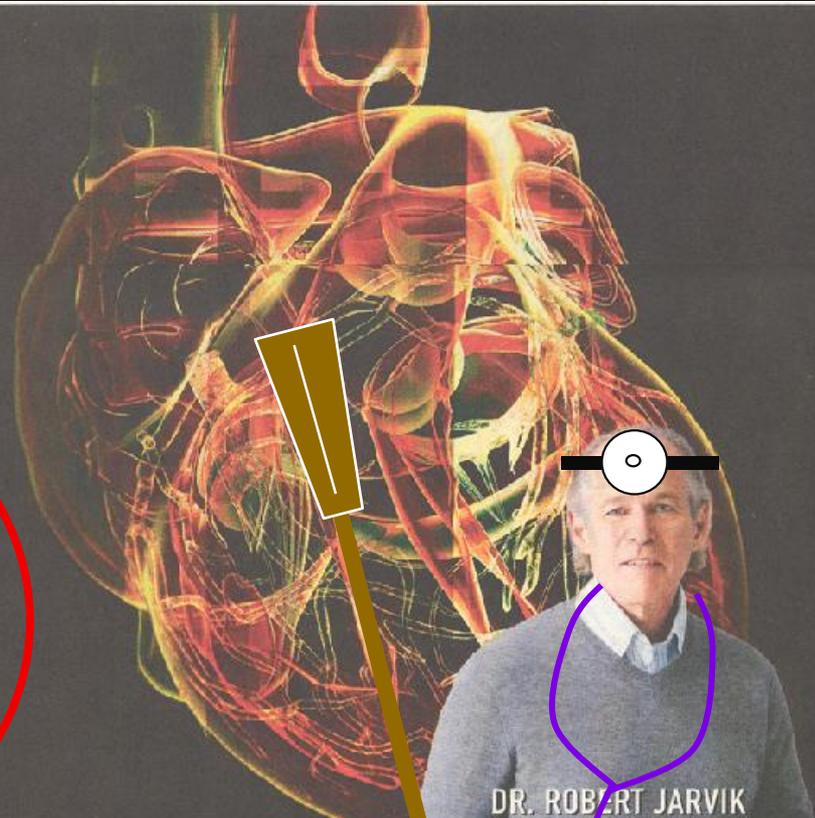
vs

Evista  
~ 0.3%

Get the absolute risks for both groups!

In patients with multiple risk factors for heart disease,

**Lipitor**  
reduces risk of heart attack  
by **36%\***

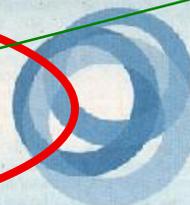


DR. ROBERT JARVIK

3% of patients taking a sugar pill had heart attack compared to 2% of patients taking Lipitor.

If his HDL ('good' cholesterol) or smoking.

\* That means in a large clinical study, 3% of patients taking a sugar pill or placebo had a heart attack compared to 2% of patients taking Lipitor.



**LIPITOR**<sup>®</sup>  
atorvastatin calcium  
tablets

# Relative vs absolute reductions

"% off"

"savings"

Absolute risk of death at 1

Risk reduction

Group A Placebo	Group B DRUG	Relative (1-B/A)	Absolute (A-B)
30%	10%	67%	20%
3%	1%	67%	2%
0.003%	0.001%	67%	0.002%

# ATTENTION!

We are NOT saying "RELATIVE RISKS" are bad.

They are good!

Efficient way to summarize 2 numbers to make comparisons – either across studies or across

tr  
eg, RR of death was 0.9 for drug X  
0.7 for drug Y  
0.3 for drug Z

# ATTENTION!

We are NOT saying "RELATIVE RISKS"

Our point:

Unless the absolute risks are given, relative risks are incomplete information about effect size.

Relative risks exaggerate the magnitude of effects -  
-- particularly when the base rate is small

.3 for drug Z

(NNT)

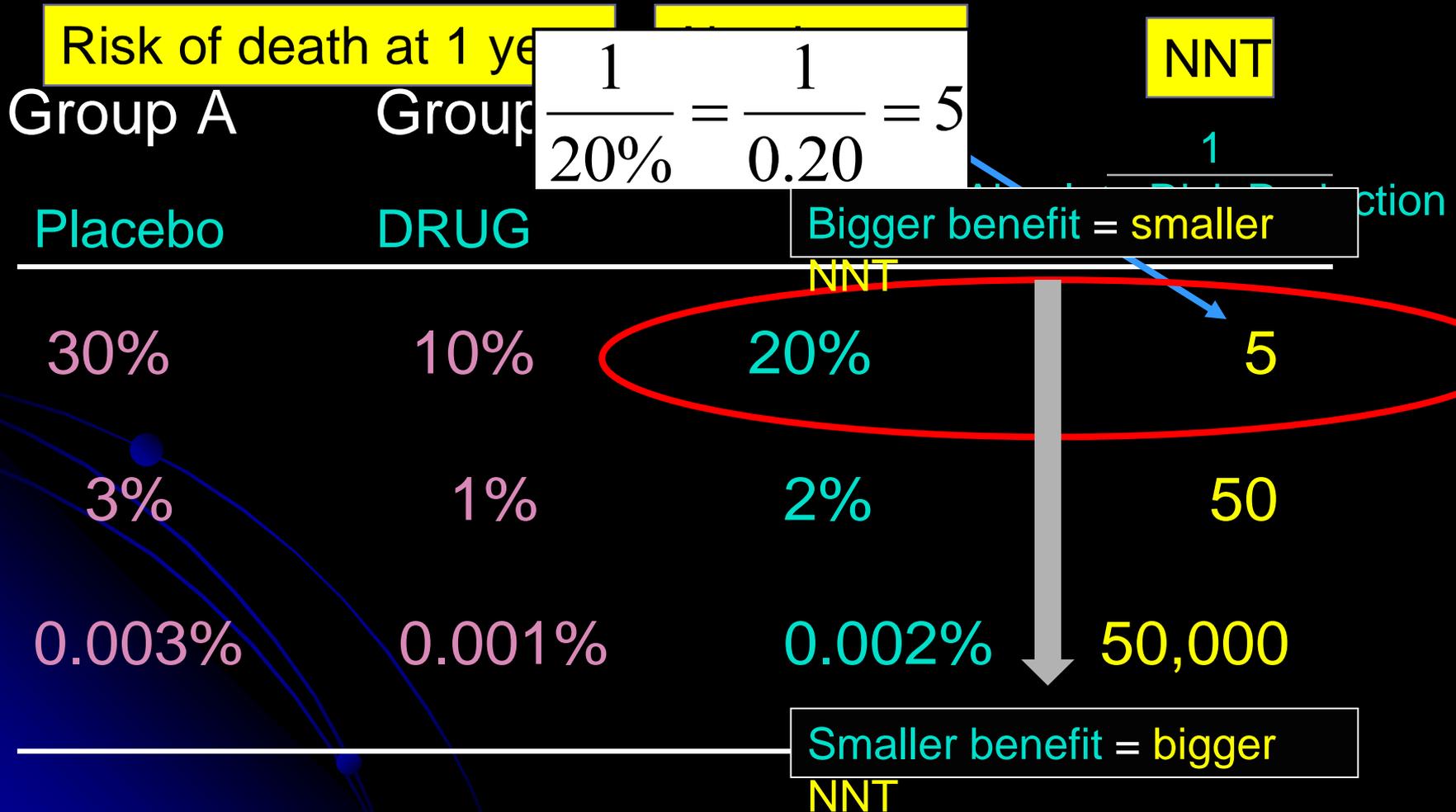
(yet one more way to talk about the same data)

$$\text{NNT} = \frac{1}{\text{Absolute risk reduction}}$$

$$= \frac{1}{(0.83\% - 0.27\%)} = 178$$

178 women would have to take Evista for a year to prevent 1 clinical vertebral

# Number needed to treat



# Summary

## *Changes in risk*

Relative risk reductions (or relative risks) are not meaningful unless you provide the base rate

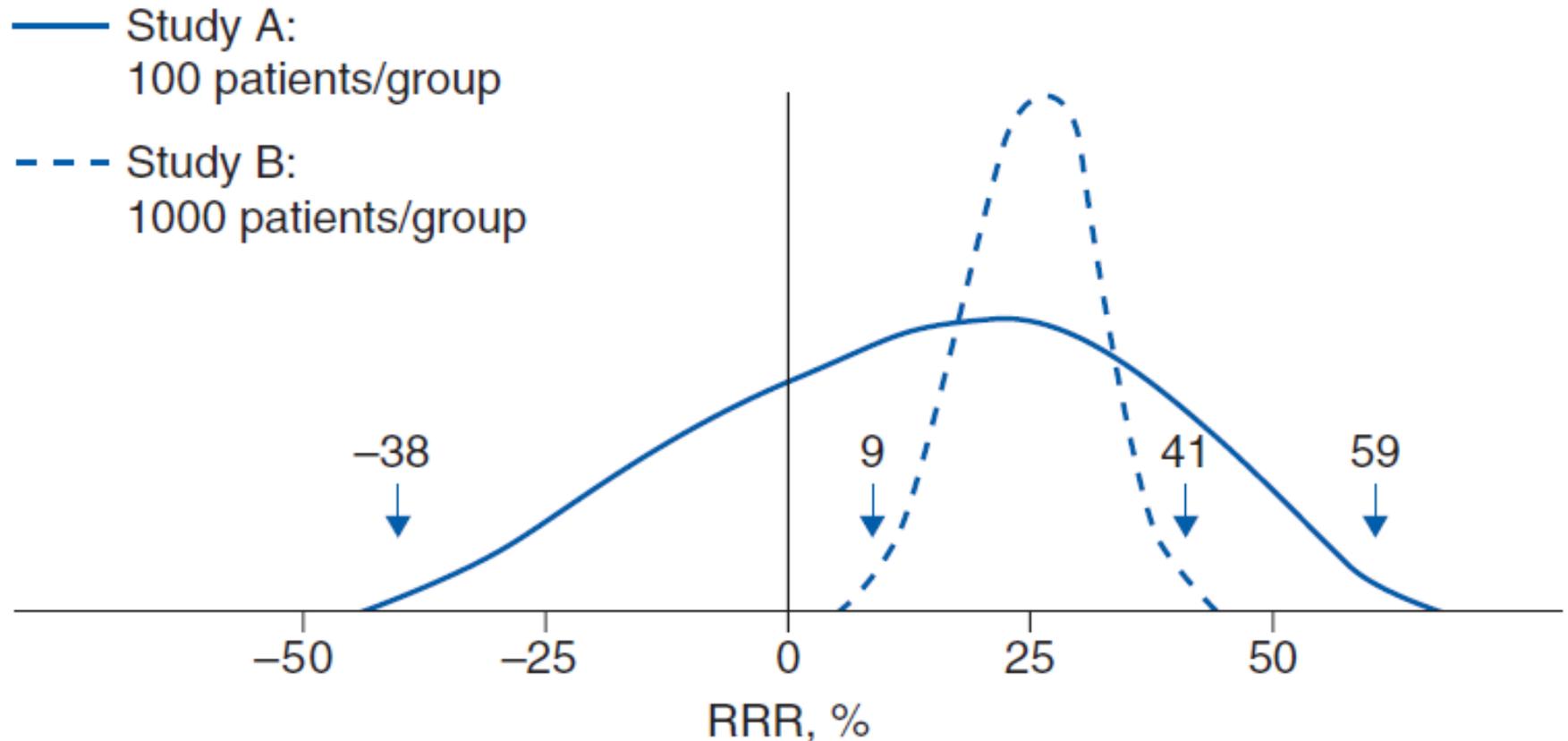
e.g. 68% less....need to know 68% of what

Comparing absolute risks is most helpful

e.g. 8 in 1000 (placebo) vs. 3 in 1000 (EVISTA)

Relative risks are helpful to compare effect sizes of different exposures.

## Confidence Intervals in Trials of Various Sample Size



Abbreviations: CI, confidence interval; RRR, relative risk reduction.

Two studies with the same point estimate, a 25% RRR, but different sample sizes and correspondingly different CIs. The x-axis represents the different possible RRR, and the y-axis represents the likelihood of the true RRR having that particular value. The solid line represents the CI around the first example, in which there were 100 patients per group, and the number of events in active and control was 15 and 20, respectively. The broken line represents the CI around the second example in which there were 1000 patients per group, and the number of events in active and control was 150 and 200, respectively.

# CI & different sample size

## Confidence Intervals Around the Relative Risk Reduction for the Hypothetical Results of 5 Successively Larger Trials

Control Event Rate	Treatment Event Rate	Relative Risk, %	Relative Risk Reduction (RRR), %	Intuitive Confidence Interval, %	Calculated 95% Confidence Interval Around the RRR, %
2/4	1/4	50	50	-50 to 90	-174 to 92
10/20	5/20	50	50	-20 to 90	-14 to 79.5
20/40	10/40	50	50	0 to 90	9.5 to 73.4
50/100	25/100	50	50	20 to 80	26.8 to 66.4
500/1000	250/1000	50	50	40 to 60	43.5 to 55.9

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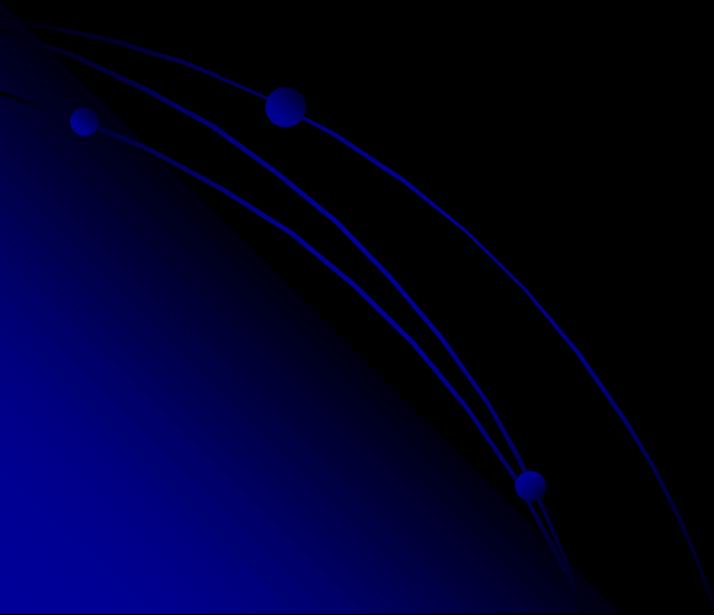
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# Take Home Messages

- 如何批判性阅读治疗学文献
- 绝对值和相对值



# Critical Appraisal: 3 easy steps

**1. Are the results valid?**

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